Annex D

Mandated System Review CHECKLISTs (optional)

Note to drafters: This Annex may be included in the Contract if the SOW requires MSR Checklists that include Commonwealth specified requirements, and the ASDEFCON (Strategic Materiel) MSR checklists are considered too detailed. This avoids checklist requirements being given ‘by notice’. Requirements in this Annex are to be included in the checklists for MSRs developed by the Contractor within their management plans, which are subject to Approval.

This template has ‘moderate’ requirements for MSR Checklists, and these should be tailored to the needs of each Contract. In doing so, drafters should review the objectives for each MSR in the SOW, the Milestone criteria in Attachment C, and common requirements in SOW clause 3.9.4, when tailoring the MSR Checklists in this Annex. For contracts of high technical complexity, drafters should consider the MSR Checklists in ASDEFCON (Strategic Materiel). As background, refer to Technical Reviews in EIA-632, Process for Engineering a System.

1. INTRODUCTION

This Annex identifies the Commonwealth requirements for entry criteria, review items and exit criteria for those Mandated System Reviews (MSRs) that are required by the SOW.

The Contractor shall incorporate the Commonwealth’s requirements for the MSRs included in this annex in accordance with the SOW.

1. COMMONWEALTH MSR Checklist Requirements

Note to drafters: If two MSRs have been merged, then drafters should consolidate the applicable checklist requirements. If a MSR has been removed from the SOW, the checklist requirements in this annex for that MSR should also be removed.

* 1. Resource and Schedule Review (Optional)

Note to drafters: If the Contract requires an Earned Value Management System (EVMS), change the heading clause 2.1 to ‘Integrated Baseline Review’ and refer to MSR-CHECKLIST-IBR, from the ASDEFCON (Strategic Materiel) templates, to develop alternative or additional entry criteria, exit criteria and/or review items. If the RSR is not included in the SOW, replace the following clause with ‘Not used’.

The requirements for the Resource and Schedule Review (RSR) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

the CWBS complies with the CWBS DID, reflects the entire scope of work (including the work identified in the Contractor’s management plans), and is defined to an appropriate level of detail to enable review at the RSR;

the CMS complies with the CWBS DID, reflects the full scope of work activities to be scheduled, and includes, where applicable, Approved Subcontractor schedules; and

risks with the potential to impact upon the viability of the CMS have been identified and documented, including any assumptions that may need to be referenced in the future;

the following review items, including the associated objective evidence:

the CWBS (including the CWBS dictionary, structure and elements) is structured around the major products to be delivered, includes cross-references to all scope-related elements of the Contract, and provides a suitable framework for planning, management, status reporting and cost estimation for the Contract, and for the development of the CMS;

the CMS (including the sequence of activities, milestones and decision points) is derived from, and traceable to, the CWBS, includes all schedule constraints identified in the Contract, is structurally sound, includes sufficient contingency to address the identified risks, identifies the required resources, and represents a logical sequence of activities to satisfy the requirements of the Contract and for evaluating progress against that schedule;

work activities for Approved Subcontractors have been devolved into sufficient detail in both the CWBS and CMS and suitably scheduled to enable planning and monitoring of their work;

the CWBS and CMS are consistent with, and justify, the cost basis for the Price Schedule at Annex A to Attachment B and the schedule basis for the Delivery Schedule at Attachment C;

the control account managers and work package managers have an adequate understanding of the CWBS and the CMS, including the relationships with any implementation of cost and schedule performance monitoring / management by the Contractor under the Contract and the proposed tools to be used; and

Commonwealth Representative tasks and activities to be undertaken by Associated Parties that interface with Contractor tasks, have been included in sufficient detail; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

cost and schedule drivers, and associated risks, have been assessed, and adequately addressed in the Contractor’s CWBS and CMS; and

the Commonwealth Representative considers the CMS to be realistic and achievable.

* 1. System Requirements Review (Optional)

Note to drafters: Amend the following clause if the project team wishes to include entry criteria, exit criteria and/or review items for SRR. If the SRR is not required in the SOW (ie, only an SDR will be held), replace the following clause ‘Not used’.

There are no Commonwealth entry criteria, exit criteria or review items for System Requirements Review (SRR) in addition to the requirements in the main body of the SOW.

* 1. System Definition Review (Core)

The requirements for the System Definition Review (SDR) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

operational and support concepts (in the Description of Requirement (DOR) Part B at Annex A to the SOW) have been reviewed and any changes proposed by the Contractor have been Approved by the Commonwealth Representative;

any Deviations (or variances) to the Specifications (in the DOR Part A or the Function and Performance Specification (FPS), as applicable) to address conflicts with proposed System Specification (SS) requirements, have been Approved by the Commonwealth Representative;

with the exception of any requirements issues to be addressed at SDR, the SS for the Mission System is complete, consistent, coherent, feasible, verifiable and, for each requirement, there is documented traceability to its source; and

Verification methods for the Mission System and the Support System have been documented within the Verification Cross Reference Matrix (VCRM), including traceability to the source requirements;

the following review items, including the associated objective evidence:

Mission System and Support System requirements are sufficiently defined to ensure that the Mission System can be used and supported in accordance with the scenarios described in the DOR / OCD;

specified functional requirements (ie, the SS and subordinate specifications) accurately reflect and are traceable to the source documents (ie, DOR / FPS);

all assumptions made with respect to defining system requirements for the Mission System have been analysed to ensure that they are consistent with the system being designed and developed;

the system-level design for the Mission System (including the associated trade studies at this stage of the design process) confirms that the proposed solution to satisfy the system requirements is feasible, and will be operationally suitable, supportable and safe within acceptable levels of risk;

all external interfaces for the Mission System and new Support System Components have been identified and are consistent with the documentation for those external interfaces, including specifications and standards;

Acceptance Verification methods and criteria are defined and considered to be feasible, effective and agreeable to the Commonwealth Representative;

the requirements to implement each Support System Constituent Capability (SSCC) are considered to be valid, feasible, and understood with respect to defining Support Resource needs, including for Personnel;

non-functional requirements (eg, for Reliability, Maintainability and Testability (RMT) and other ‘ilities’), design constraints and technical risks, including critical failure modes, have been analysed sufficient to establish viable specifications and design goals for the next phase, including in relation to the Support System;

regulatory and certification requirements (eg, system safety, system security, RF spectrum, etc), addressed by the specialty engineering programs, are defined and the evaluations and accreditations are programmed into Contract plans, including in relation to the Support System; and

the proposed solution remains consistent and compliant with applicable legislation, government policies and Contract obligations; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

cost and schedule drivers, and risks, relating to both the Mission System and Support System have been assessed, and the Commonwealth Representative considers that these have been adequately addressed in the Contractor’s plans for the next phase of development; and

the Commonwealth Representative has Approved the CCP for the SS to be incorporated into Annex A to the SOW.

* 1. Preliminary Design Review (Optional)

Note to drafters: Amend the following clause if the project team wishes to include entry criteria, exit criteria and/or review items for PDR. If the PDR is not required in the SOW (ie, only a DDR will be held), replace the following clause ‘Not used’.

There are no Commonwealth entry criteria, exit criteria or review items for Preliminary Design Review (PDR) in addition to the requirements in the main body of the SOW.

* 1. Detailed Design Review (Core)

The requirements for the Detailed Design Review (DDR) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

all Configuration Items (CIs) for the Mission System have been identified, the specifications for these CIs are complete, and bidirectional traceability between the SS and these CI specifications has been established;

a complete suite of Verification methods for each Mission System CI has been developed, including documented traceability to the source; and

sufficient objective evidence (eg, design metrics) has been provided to the Commonwealth Representative to confirm that the maturity of the Mission System design is sufficient for the purposes of conducting DDR, including those implications arising out of the Support System, operational Facilities and infrastructure, and external interfaces;

the following review items, including the associated objective evidence:

any remaining requirements variances, voids and conflicts or any requirements ‘to be determined’ in the various specifications have been identified and an approach defined to address them;

any changes to the SS that conflict with the DOR Part A / FPS requirements have an Application for a Deviation that is Approved or proposed for Approval;

the detailed design will result in a Mission System (and, if applicable, a modified platform / system) that will be operationally effective, safe, secure and environmentally compliant when the Mission System is operated and supported in accordance with the operational and support concepts in the DOR Part B at Annex A to the SOW;

the design solution (as a set of hardware and/or Software CIs) meets the Mission System FBL and provides the required behaviour for each required state and mode, including failure modes;

for each Software CI in the Mission System, the Software design is suitably defined to enable the implementation of design requirements, including through the assignment of detailed design characteristics to Software, functional and interface requirements, design rules, information flows, processing and storage requirements;

for each Software CI in the Mission System, there is sufficient evidence to justify that critical system performance characteristics will be achieved on the target Hardware CIs;

external interface design details for hardware and Software are defined and the available design data for interfacing systems is sufficient to enable the implementation of the system design requirements;

the results of significant analysis and trade studies have been presented in support of the maturity assessment for the Mission System design, including the outcomes of any Internal System Reviews conducted with Subcontractors and the outcomes of any independent analyses of the detailed design undertaken by the Contractor;

the design is able to be produced (including, where applicable, manufactured) within the allocated schedule with acceptable levels of risk;

the outcomes of the specialty engineering programs demonstrate a balanced design that satisfies the specialty engineering requirements of the Mission System SS, including in relation to, for example, any required or recommended design margins (eg, for growth), useability criteria, Supportability requirements, electromagnetic compatibility (eg, with other proximate systems), and protective security, including cyber security;

the design solution addresses all regulatory and certification requirements (eg, system safety, system security, RF spectrum, etc) and the required evaluation / accreditation processes are achievable and programmed into Contract plans;

requirements for any new / modified Support System Components (including Technical Data) have been sufficiently defined to enable the development or modification of those components;

operation and support activities, and the implementation requirements for each SSCC, are sufficiently defined to enable a detailed definition of all Support Resource requirements in the next phase of the Contract;

Verification and Validation (V&V) documentation, including plans, VCRM, and Verification criteria and methods, are defined and documented for the Verification of the Mission System and the Support System against specified requirements, for the purposes of Acceptance; and

the proposed design solutions for the Mission System and the Support System are consistent with legislation, government policies, and other Contract obligations, including the Technical Data and Software rights; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

designs for the Mission System, and any new Support System Components, are consistent with the specified requirements, and the Commonwealth Representative considers that the design is achievable and able to support production, installation and Verification activities; and

the Commonwealth Representative considers that the design data is sufficient and suitable for describing the detailed design of the Mission System.

* 1. Provisioning Preparedness Review (Core)

Note to drafters: If a category of Support Resources (eg, special-to-type Packaging or Training Equipment) will not be applicable to the draft Contract, drafters may amend the following clauses accordingly. If the Commonwealth does not have additional entry criteria, exit criteria or review items for a PPR, drafters should replace the following clause with one that is similar to SRR or PDR above, but referring to PPR.

The requirements for the Provisioning Preparedness Review (PPR) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

sufficient objective evidence (including modelling data if required under the Contract) has been provided to, and reviewed by, the Commonwealth to justify the recommended provisioning lists for Spares, special-to-type Packaging, Support and Test Equipment (S&TE) and Training Equipment (as applicable); and

unless otherwise agreed by the Commonwealth Representative, the provisioning lists address all requirements for the Mission System and Support System, including for normal and contingency operations (as required by the Contract), each of the SSCCs, and each level of Maintenance;

the following review items, including the associated objective evidence:

the recommended Spares, special-to-type Packaging, S&TE and Training Equipment (as applicable) are consistent with the related Technical Data (eg, maintenance manuals, Learning Management Packages (LMPs), and Mission System Configuration Management baseline);

the recommended quantities of Spares, special-to-type Packaging, S&TE and Training Equipment (as applicable) are consistent with the design solution and the specified requirements for the Support System and for Mission System availability and sustainability requirements, as applicable; and

individual price and provisioning lead time data is provided for each item of Spares, special-to-type Packaging, S&TE and Training Equipment (as applicable), including whether or not the total prices for the recommended provisioning quantities of these items fit within the corresponding Not-To-Exceed (NTE) prices within Attachment B; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

the parties agree that the provisioning lists are complete, accurate, justified and suitable for incorporation into the Contract (as a component of Attachment B) to define the deliverable items of Spares, special-to-type Packaging, S&TE and Training Equipment (as applicable).

* 1. Training Readiness Review (Optional)

Note to drafters: If the TNGRR is not included in the SOW, replace the following clause with ‘Not used’.

The requirements for the Training Readiness Review (TNGRR) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

if applicable, any new / modified Training Facilities are considered suitable (eg, as a result of a FACRR) for the purposes of commencing Training for the courses that are the subject of the TNGRR;

sufficient quantities of Training Equipment have been delivered, installed in Facilities (if applicable), and functionally checked;

if applicable, Computer Based Training (CBT) has been delivered, installed and functionally checked on the intended delivery platform; and

Learning Management Packages (LMPs), including Training Materials, have been reviewed by the Commonwealth Representative and Training stakeholders, and are compliant with the Contract and considered suitable for the purposes of conducting the MSR;

the following review items, including the associated objective evidence:

the proposed Training courses, Training Equipment, Training Materials and all associated resources will enable training participants to develop the necessary competencies required to achieve the specified operation and support functions of the Mission System and the Support System;

any additional Training Equipment, Training Materials and other resources have been delivered, or are scheduled for delivery at a time that enables the delivery of Training to commence when scheduled;

course participants have been nominated and their prerequisite training and education requirements have been confirmed as suitable for the Training; and

any other resources to be provided by the Commonwealth (or a third party) are prepared or will be prepared in time for the scheduled Training; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

if applicable, Training course accreditation (eg, for Vocational Education and Training accredited courses) and accreditation of the Registered Training Organisation have been achieved, or are considered sufficient by the accreditation authority to proceed with trial Training course delivery;

the Contractor is ready to commence Training delivery, including in co‑ordination with the V&V program and Transition activities, as applicable; and

all Commonwealth Facilities, Personnel, Training Equipment and any other Support Resources required, have been scheduled and will be available for the commencement of Training.

* 1. Facilities Readiness Review (Optional)

Note to drafters: If the FACRR is not included in the SOW, replace the following clause with ‘Not used’.

The requirements for the Facilities Readiness Review (FACRR) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

any building inspections required by legislation, for suitability, safety, or otherwise related to the work undertaken at the Facilities, have been conducted and copies of the applicable reports / certificates / permits have been delivered to the Commonwealth; and

if applicable, all necessary licences, permits and workplace registrations (eg, Work Health and Safety (WHS) and environmental) for the Facilities and/or for the activities to be conducted in the Facilities are in place, or sufficient progress has been made in obtaining these licences, permits and workplace registrations to enable FACRR to be entered;

the following review items, including the associated objective evidence:

the new / modified Facilities, and applicable equipment fit-out have the capability to provide the functions needed for the support and/or operation of the Mission System, as set out in the Contract and the associated data items;

the new / modified Facilities, and applicable equipment fit-out have the capacity to provide support (ie, for Operating Support, Engineering Support, Maintenance Support, Supply Support and Training Support, as applicable) to the levels that enable Mission System availability and sustainability requirements, as set out in the Contract and the associated data items, to be achieved; and

if applicable, plans or support contracts have been put in place for the upkeep and maintenance of the new / modified Facilities and equipment fit-out; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

the Facilities are ready for occupation and, if applicable, the commencement of Training and/or V&V activities in those Facilities; and

if applicable, plans and/or support contracts for Facility upkeep and maintenance are deemed to be realistic and achievable.

* 1. Test Readiness Review (Optional)

Note to drafters: If the TRR is not included in the SOW, replace the following clause with ‘Not used’.

The requirements for the Test Readiness Review (TRR) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

Note: The term ‘item under test’ in the following clauses means a Configuration Item (CI), group of CIs, subsystem, component (including Support System Constituent Capability) or system (including Mission Systems, Support System or combination thereof) that is undergoing test activities as part of Acceptance Verification and Validation (AV&V).

the status of all design and test documentation for each item under test has been established and declared to the Commonwealth Representative;

traceability from the requirements for each item under test to the test procedures and to the Contract test (Verification) requirements has been established and declared to the Commonwealth; and

the developmental status of each item under test is mature enough to enable effective conduct of the associated AV&V activities;

the following review items, including the associated objective evidence:

any configuration changes to items under test have been addressed in the current test procedures, including any changes since previous testing;

consistent configurations for the items under test and the test environment have been established;

test procedures have been reviewed by both parties and Approved by the Commonwealth Representative;

safety hazards and risks have been assessed, and appropriate safe-work methods have been defined and reviewed by an applicable safety authority and Approved by the Commonwealth Representative;

adequate procedures are in place to capture test results and failure data;

a strategy for regression testing, subsequent to any test failures, has been agreed; and

all resources required for the test program, including access to Government Facilities, Government Furnished Services (eg, operation of exercise ranges), Personnel, Contractor and third party resources have been identified and scheduled; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

the items under test and the test procedures have been agreed, by both parties, as being satisfactory to support formal testing; and

all resources required for the test activities, including personnel, equipment and facilities, are available.

* 1. Functional Configuration Audit (Optional)

Note to drafters: If the FCA is not included in the SOW, replace the following clause with ‘Not used’.

The requirements for the Functional Configuration Audit (FCA) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

the Configuration Items (CIs) to be audited have been clearly identified to the Commonwealth Representative;

the Contractor has provided the Commonwealth Representative with a list and the details of all Deviations (including variances) and waivers, Approved and pending, for the CIs; and

bi-directional traceability, from system requirements to the CI, and from the CI to system requirements, has been established;

the following review items, including the associated objective evidence:

all Deviations and waivers applicable to the CIs have been Approved;

each requirement in each CI’s functional baseline / specification has been Verified by the agreed method (including by analysis of prior V&V results or through quality assurance processes, if applicable); and

each CI is of the same configuration as that Verified or adequate regression testing has been performed to address any change in configuration; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

the FCA confirms that that the CI conforms to its specification;

the test procedures, reports and data used by the FCA team have been made a matter of record in the FCA minutes;

any required updates to configuration data have been made and agreed by the Commonwealth Representative; and

the functional baseline / specifications for the CIs audited are up to date.

* 1. Physical Configuration Audit (Optional)

Note to drafters: If the PCA is not included in the SOW, replace the following clause with ‘Not used’.

The requirements for the Physical Configuration Audit (PCA) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

where specified in the Contract, the Commonwealth Representative has Approved the Functional Baseline for the CI in accordance with the Contract;

the final draft of the product specifications for the CIs to be audited have been delivered to the Commonwealth Representative for review; and

the configuration of the CIs (including build status, all Deviations, nomenclature, specification details, drawings, part numbers and Software documentation) have been clearly identified to the Commonwealth Representative;

the following review items, including the associated objective evidence:

all Deviations applicable to the CIs have been Approved;

if there are differences between the configuration of the CI audited and the item used for the FCA, the Contractor has demonstrated that the differences do not degrade the functional characteristics of the CI;

records of baseline configuration for the hardware been reviewed by direct comparison with the Contractor's engineering release system and change control procedures to establish that the configuration being produced / reviewed does accurately reflect released engineering data;

documentation describing the CIs being audited has been reviewed to confirm accuracy, completeness and conformance with any required standards, including those referenced in the Contract (eg, Data Item Descriptions);

drawings and design data, as selected by the Commonwealth Representative, have been audited against hardware CIs, and the drawings (including current revisions) and design data, accurately reflect the CIs audited;

hardware CIs, as selected by the Commonwealth Representative (eg, by part number), have been audited against respective drawings, design data and manufacturing instructions, and the CIs accurately reflect this documentation, including the configuration of subordinate components;

each Software CI audited accurately reflects its specifications, the top-level (application) and lower-level design descriptions, and operational and support information relating to that Software CI;

spare parts, provisioned and delivered prior to the PCA, remain applicable to the build standard for the Mission System / high-level CI; and

the Configuration Status Accounting (CSA) system and the Contractor’s release process is controlled, and capable of accurately determining superseded configurations, engineering changes during production, and the configuration of each CI offered for Acceptance; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

the data used by the PCA team have been made a matter of record in the PCA minutes;

all differences (if any) between the CI audited (as built / maintained / modified) and the CI to be qualified (build standard / baseline) have been recorded; and

the build records for the CIs audited confirm that the CIs have been built in accordance with the drawings, Software design documentation and specifications, and that the Product Baseline is accurate.

* 1. System Acceptance Audit (Optional)

Note to drafters: If the SAA is not included in the SOW, replace the following clause with ‘Not used’.

The requirements for the System Acceptance Audit (SAA) shall include:

the following entry criteria, in addition to those set out in clause 3.9.4 of the SOW:

the Mission Systems and Support System Components being offered for Acceptance (‘**SAA Supplies**’) have been delivered to the required delivery points in accordance with the Contract;

Acceptance V&V (AV&V) activities, as required under the Contract, have been completed for the SAA Supplies, and the configuration of the SAA Supplies has not changed since the completion of the AV&V activities, except where otherwise agreed by the Commonwealth Representative;

where FCAs and PCAs were conducted for any of the SAA Supplies, the exit criteria for those Configuration Audits have been satisfied;

system certification and design registrations, as applicable, have been achieved and evidence provided to the Commonwealth Representative;

Operator and support (eg, Maintenance) Training has been provided to Defence Personnel, as required under the Contract; and

each Supplies Acceptance Certificate (SAC) required for the SAA Supplies has been delivered to the Commonwealth Representative, with supporting documents (eg, receipt documentation and certificates of conformance) and, if applicable, an Application for a Deviation (AFD) for each minor defect within the Supplies;

the following review items, including the associated objective evidence:

all elements of the SOW that affect the scope of the matters to be considered in relation to the SAA Supplies have been addressed;

the Supplies meet their specifications, regulatory certification requirements, design registrations, and any additional legislative or statutory obligations;

any outstanding issues from the set of FCA and PCA activities conducted on the SAA Supplies been reviewed to ensure that all of the issues have been addressed to the satisfaction of the Commonwealth Representative;

sufficient quantities of Support Resources, for the number and locations of Mission Systems delivered, have been delivered to Defence operational and support elements, and the Contractor (Support) if applicable, to satisfy the operational and support requirements specified in the Contract;

all Technical Data, which will be used by Defence Personnel to operate and support the SAA Supplies (eg, Publications and data required for Defence logistic information management systems), has been Accepted or Approved, as required under the Contract;

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| Option A: If the Contract will be linked to a Contract (Support) include the following clauses and ensure that Milestones in the Contract (Support) are linked to the applicable SAAs as ‘Concurrent Contract Milestones’. See the ASDEFCON Linkages Module for guidance.  if applicable to the SAA Supplies, the Phase In activities for any linked Contract (Support) have been checked to confirm that appropriate contractually-provided support will be in place, as required, when the relevant SAA Supplies are required to be employed by Defence operational elements;  if applicable to the SAA Supplies, the ramp-up activities for any linked Contract (Support) have been checked to confirm that appropriate contractually-provided support will be in place, as required, when the relevant SAA Supplies are required to be employed by Defence operational elements; and |

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| Option B: If the Contract is not formally linked to a Contract (Support) and the Contractor (Support) is treated as an Associated Party.  if applicable to the SAA Supplies, the Contractor has done everything reasonably required under the Contract to facilitate the Phase In activities of any associated Contract (Support) to ensure that appropriate contractually-provided support will be in place, as required, when the relevant SAA Supplies are required to be employed by Defence operational elements;  if applicable to the SAA Supplies, the Contractor has done everything reasonably required under the Contract to facilitate the ramp‑up activities of any associated Contract (Support) to ensure that appropriate contractually-provided support will be in place, as required, when the relevant SAA Supplies are required to be employed by Defence operational elements; and |

the applicable AIC Obligations, which are linked to the Acceptance of the SAA Supplies (eg, in relation to Australian Industry Activities (AIAs)), have been checked to confirm that these AIC Obligations have been achieved; and

the following exit criteria, in addition to those set out in clause 3.9.4 of the SOW:

the Acceptance Verification activities have confirmed that the SAA Supplies have no failures that are categorised as either Failure Severity 1 or Failure Severity 2;

the Acceptance Verification activities have confirmed that, where the SAA Supplies have failures or anomalies that, by themselves, would be categorised as Failure Severity 3, Failure Severity 4 or Failure Severity 5, the number of failures / anomalies and/or the frequency of their occurrence does not cause them to be categorised, in aggregate, at Failure Severity 1 or Failure Severity 2;

subject to paragraph (ii) above, the Acceptance Verification activities have confirmed that the SAA Supplies have no more than the agreed number of failures or anomalies per CI identified in the applicable Approved Acceptance Test Plan and Procedures (ATP&P), which are categorised as Failure Severity 3, Failure Severity 4 or Failure Severity 5;

when required, Design Acceptance / certification for the SAA Supplies has been provided by the relevant regulatory authority;

the Commonwealth Representative has Approved each required Design Certificate for the SAA Supplies;

evidence of ADF regulatory / assurance or third-party certification, design registration, and all applicable statutory obligations has been delivered to, and assessed as acceptable by, the Commonwealth Representative;

where Acceptance of the SAA Supplies will enable the Commonwealth to perform specified functions or achieve a level of Capability defined in the Contract (eg, in a Milestone description), the Commonwealth Representative assesses that the elements being provided by the Contractor are satisfactory and sufficient for these purposes;

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| Option: If the Contract will be linked to a Contract (Support), include the following clause.  where, in conjunction with the Acceptance of the SAA Supplies, support services also need to be provided through an accompanying Contract (Support) to perform specified functions and/or achieve a level of Capability defined in the Contract, the Commonwealth Representative assesses that the services, which are either being provided through the Contract (Support) or will be provided after the Operative Date under the Contract (Support), are satisfactory and sufficient for these purposes; |

where AIC Obligations are linked to Acceptance of the SAA Supplies, including the transfer, creation or upgrade of skills, resources and Intellectual Property, as applicable, the Commonwealth Representative assesses that these AIC Obligations have been achieved;

the Technical Data and Software Rights Schedule is up-to-date and consistent with the configuration of the SAA Supplies and the configuration of all previously Accepted Supplies, except where otherwise agreed by the Commonwealth Representative;

the Configuration Status Account (CSA), including any related data items that define the configuration (in full or in part) of the SAA Supplies (eg, CSA Report and MSTDT), have been updated to reflect any required changes identified through the SAA;

the SSTDL is up-to-date and consistent with the configuration of the SAA Supplies, and the Technical Data identified in the Approved SSTDL for delivery to all of the respective parties identified in the SSTDL other than the Commonwealth (eg, in‑country support contractors and subcontractors) for the operation and support of the SAA Supplies, has been delivered to those respective parties; and

the required Supplies Acceptance Certificates for the delivered SAA Supplies have been signed by the Commonwealth Representative.

* 1. Defence-Required Australian Industrial Capability Readiness Review (Optional)

Note to drafters: Within a contract, DRAICs specify requirements for Australian Industry Activities, as part of the Australian Industry Capability (AIC) program, which are subject to Verification and Acceptance. Refer to the AIC Guide for ASDEFCON for further information. If DRAICs are to be included in the draft Contract (and specified in Attachment F), drafters are to review the DRAICRR MSR Checklist in ASDEFCON (Strategic Materiel) and incorporate the applicable entry criteria, review items and exit criteria into the following clause (or reference the ASDEFCON (Strategic Materiel) MSR Checklist directly from this clause if the DRAICs warrant the application of the full MSR Checklist).

Not used.