

Our Performance

Reporting

In keeping with Australia's national interests and international obligations, Defence facilitates the responsible export of military and dual-use goods and technologies from Australia.

Defence Export Controls' quarterly permit statistics provide the number and types of export applications received and finalised, application processing timeframes, the estimated total value of permits issued, and the breakdown of permits issued to end-users by continent.

The statistics do not equate to actual exports as there is no obligation to conduct or report on an activity under permit.

What are military and dual-use goods and technologies?

Permits are required for the export of military and dual-use goods and technologies which are defined by the Defence and Strategic Goods List.

Military goods include weapons, munitions and armour but also include items such as explosives, radios, simulators and training equipment that are used in civilian industries such as mining and manufacturing. Export permits are necessary for exports to support UN and other peacekeeping missions and for individual Australian sporting shooters to attend overseas events.

Dual-use items and technologies are generally used for civilian purposes but could also be used or adapted for use in a military program or contribute to the development and production of chemical, biological or nuclear weapons systems. Dual-use items can include chemicals for mineral processing, sensors and lasers for university research and development programs, or software and computer equipment.

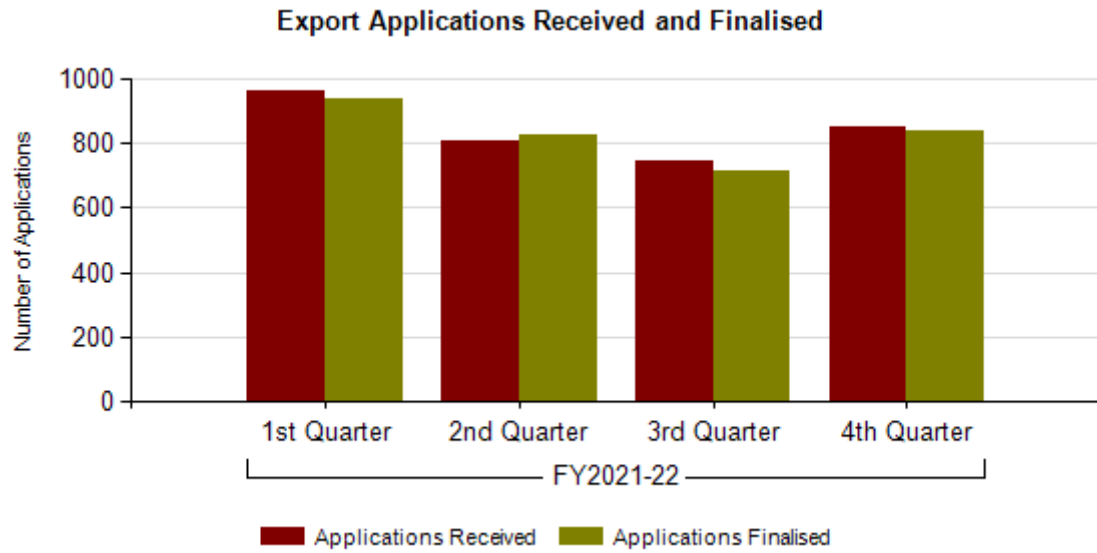
All applications are assessed on case-by-case basis in accordance with our legislation based export control provisions. This includes consideration of human rights, national security, regional security, foreign policy and international obligations.

Details on arms transfers within scope of the Arms Trade Treaty are available on the Department of Foreign Affairs and Trade (DFAT) website.

Export Application Statistics

Export Applications

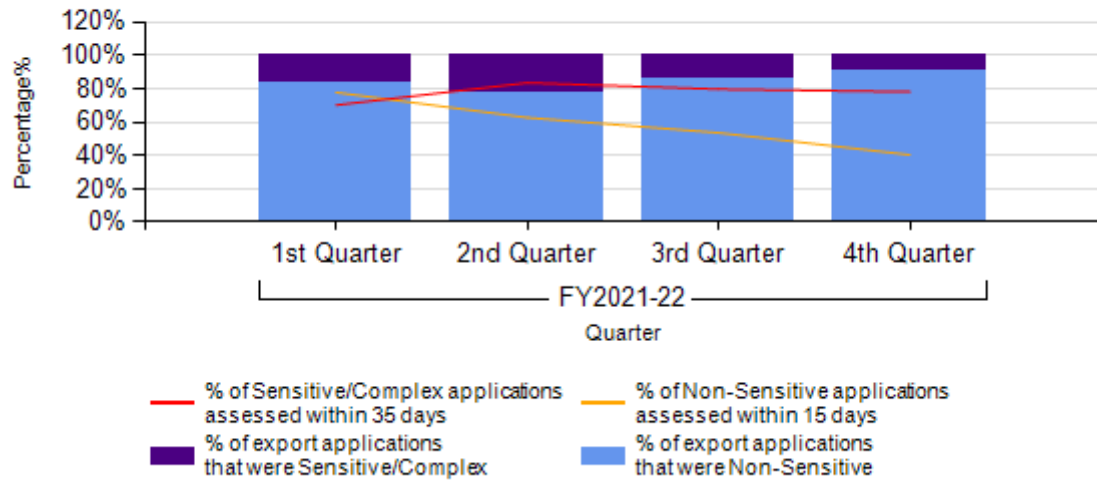
Export Applications Received and Finalised for FY2021-22



	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Total
Applications Received	959	808	748	850	3365
Applications Finalised	939	825	715	837	3316

Export Application Processing

Percentage of export applications processed that were Non-Sensitive and Sensitive/Complex; and their processing times that met benchmark timeframes



The percentage an export application processed that were Non-Sensitive and Sensitive/Complex for FY2021-22

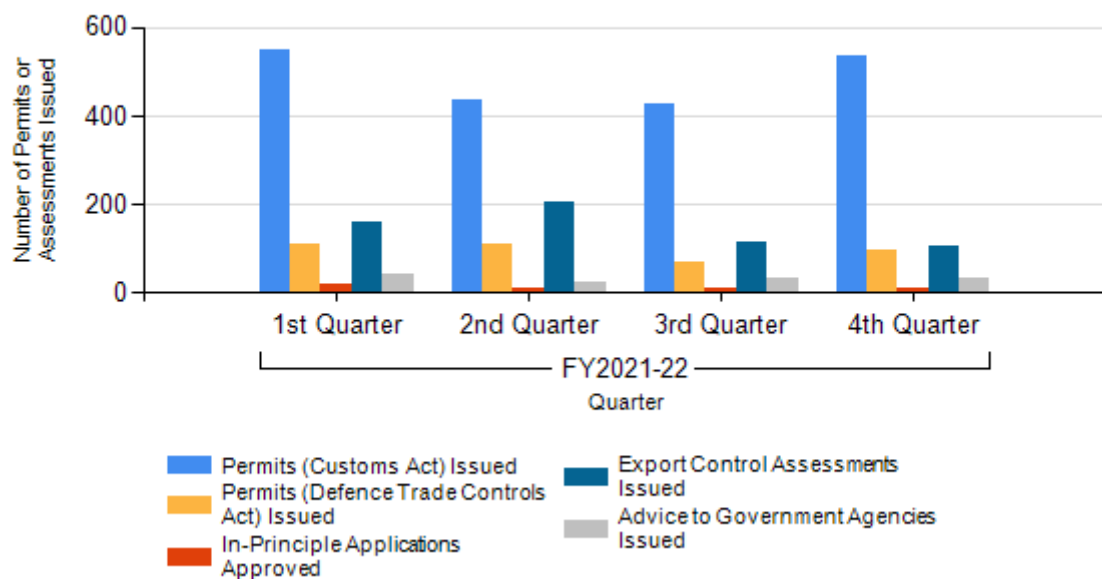
	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Average
% of export applications that were Non-Sensitive	84%	78%	86%	91%	85%
% of export applications that were Sensitive/Complex	16%	22%	14%	9%	15%

The percentage of export applications processing times that met benchmark assessment timeframes for FY 2021-22

	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Average
% of Non-Sensitive applications assessed within 15 days	78%	63%	54%	40%	59%
% of Sensitive/Complex applications assessed within 35 days	70%	84%	80%	78%	78%

Export Application Approvals and Assessments

Export Permit Approvals, Supported In-Principle Assessments and Advice issued in FY2021-22



Export Permit Approvals, Supported In-Principle Assessments and Advice issued in FY2021-22

	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Total
Permits (Customs Act) Issued	549	434	425	534	1942
Permits (Defence Trade Controls Act) Issued	110	111	70	97	388
In-Principle Applications Approved	19	9	10	9	47
Export Control Assessments Issued	161	204	114	104	583
Advice to Government Agencies Issued	43	25	32	32	132

Export Application Prohibitions and Denials

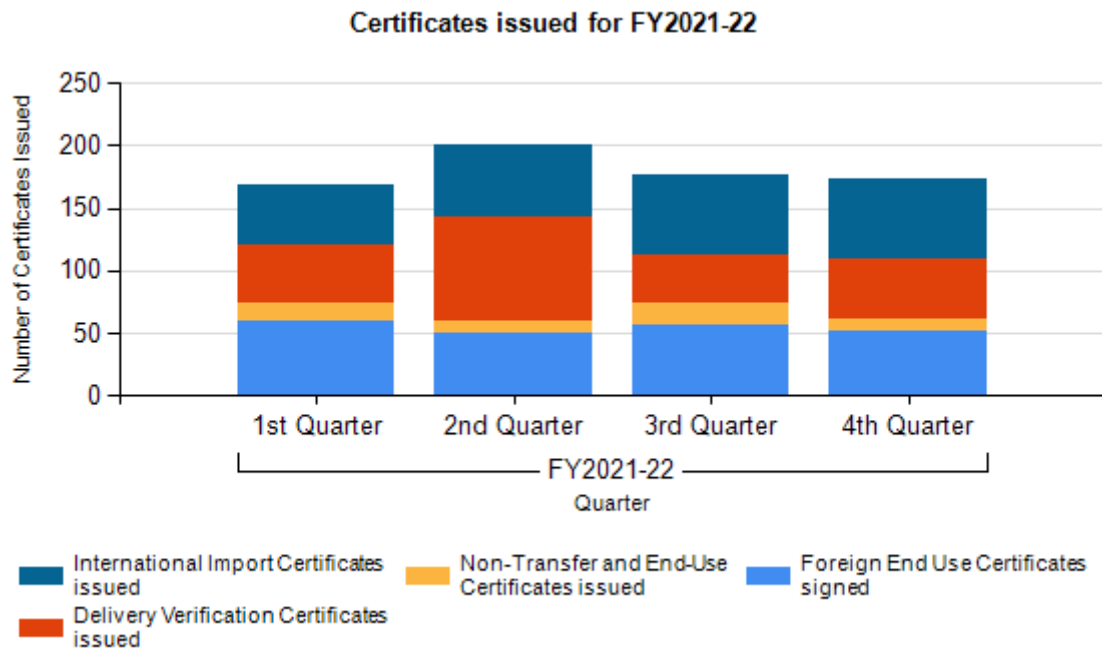
Export denials under Regulations 13E of the *Customs Act 1901*, in-principle assessments not supported, and prohibitions under the WMD (*Weapons of Mass Destruction*) Act and Military End-Use provisions for FY2012-22

	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Total
Permits refused (Customs Act)	4		1		5
In-Principle Applications Not Supported	4		4		8
Permits refused (Defence Trade Controls Act)	0	0	0	0	0
Prohibition Notices (Weapons of Mass Destruction Act)	0	9	0	0	9
Prohibition Notices (Military End Use)	0	0	0	0	0
Prohibition Notices (Publications)	0	0	0	0	0

Export Applications Withdrawn, Made Inactive, Lapsed and No Further Action Required

	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Total
Withdrawn, made inactive, lapsed	49	32	58	51	190
no further action required	0	0	1	3	4

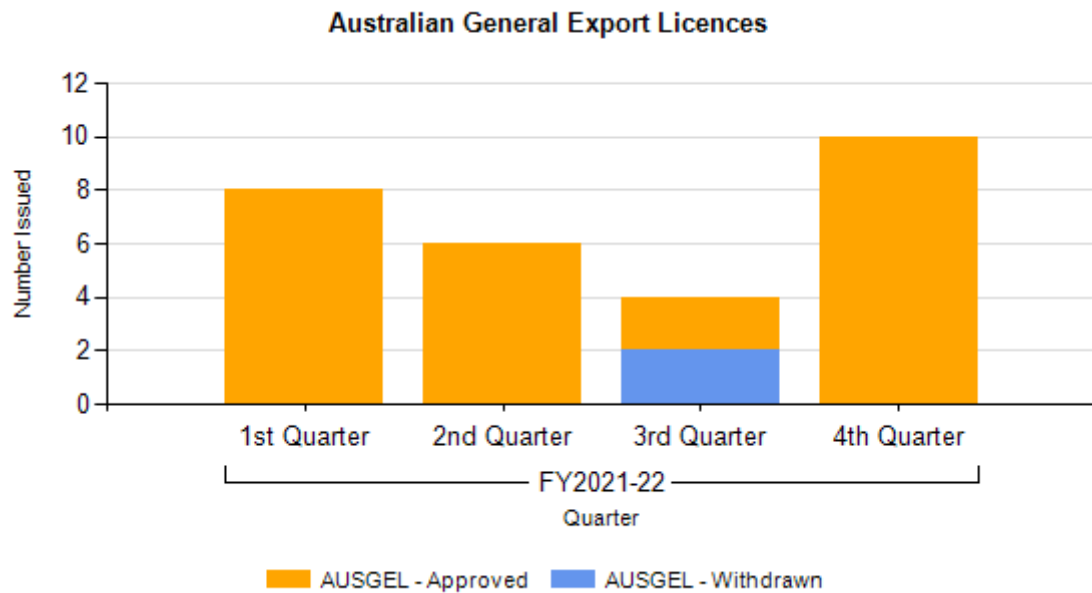
Certificate Statistics



Certificates issued for FY2021-22

	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Total
International Import Certificates issued	48	57	65	63	233
Delivery Verification Certificates issued	46	83	38	49	216
Non-Transfer and End-Use Certificates issued	15	10	17	9	51
Foreign End User Certificates signed	59	50	57	52	218

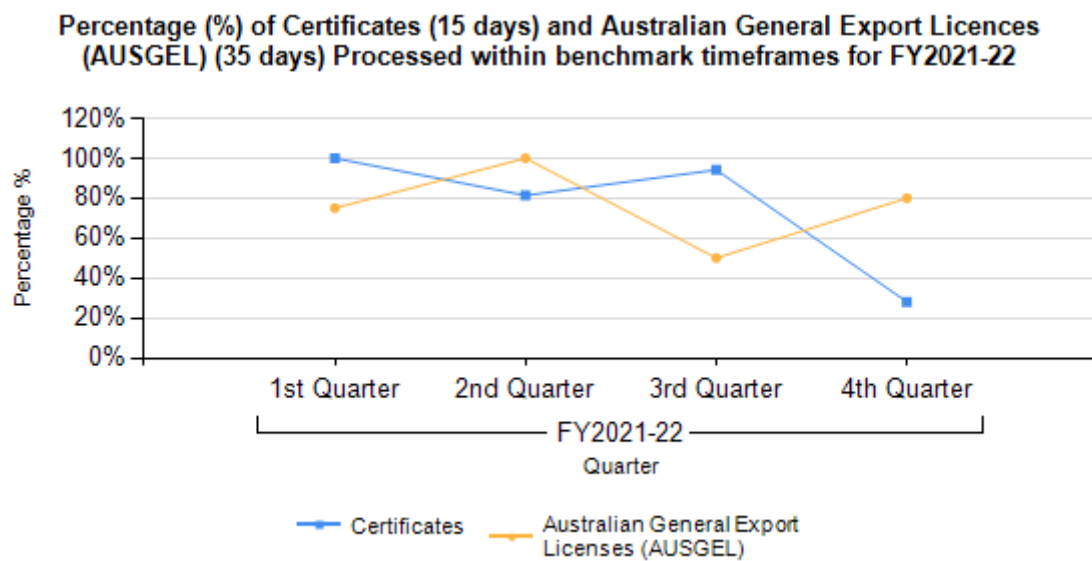
Australian General Export Licences (AUSGEL) Statistics



AUSGELs issued in FY2021-22

	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Total
AUSGEL – Approved	8	6	2	10	26
AUSGEL – Withdrawn	0	0	2	0	2

Certificates and Australian General Export Licences (AUSGEL) Processing

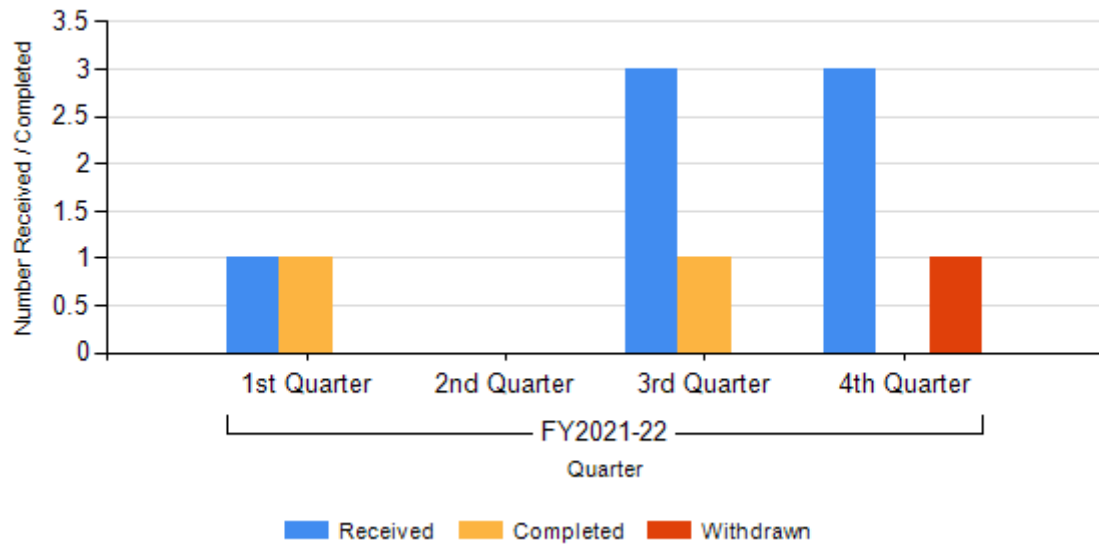


Percentages of Certificates (15 days) and AUSGELs (35 days) processed within benchmark timeframes for FY2021-22

	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Average
Certificates	100%	81%	94%	28%	76%
Australian General Export Licences (AUSGEL)	75%	100%	50%	80%	77%

Broker Registration

Broker Registrations Received and Completed For FY2021-22



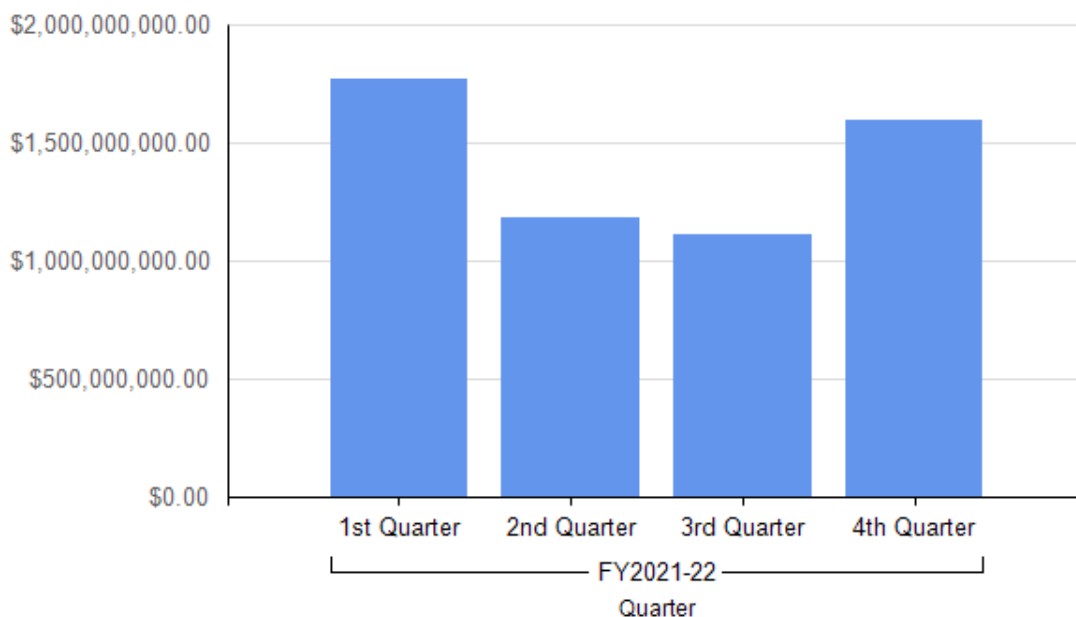
Broker Registration received and completed FY2021-22

	FY2021-22				
	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Total
Received	1	0	3	3	7
Completed	1	0	1	0	2
Withdrawn	0	0	0	1	1

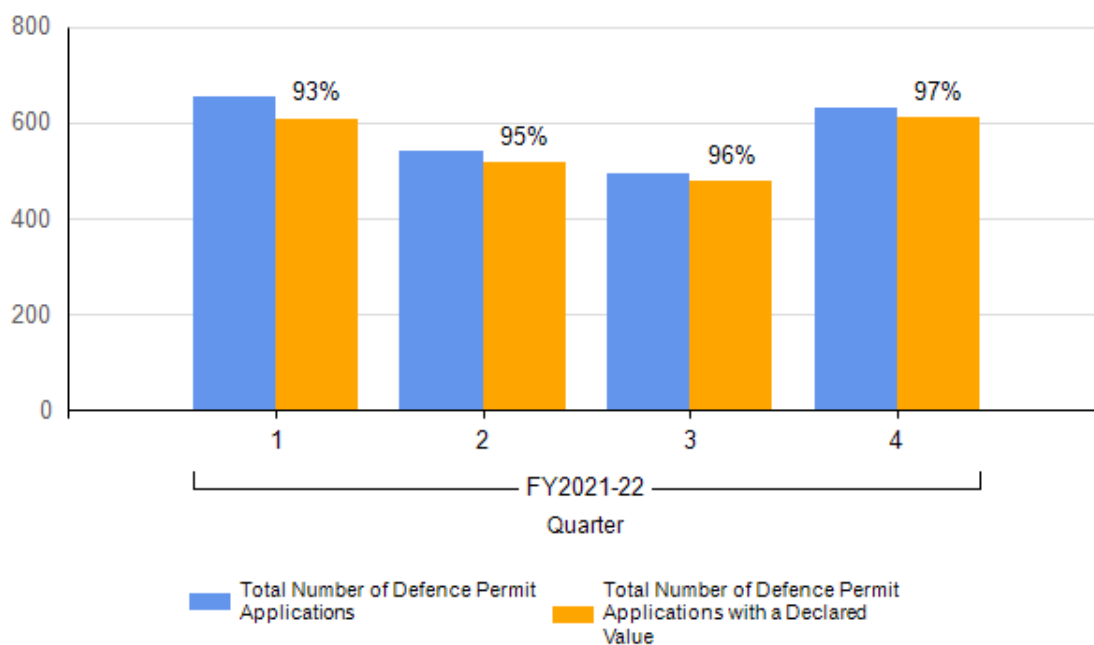
Estimated Value of Approved Defence Permits

Caveats on statistics in this section: From 1 January 2018 onwards, applicants have been required to include their estimation of the total value of their export over the life of the permit. Some permits extend over multiple years. Year-to-year comparisons of export values could therefore be misleading.

Estimated Value (in AUD) of Approved Defence Permits in FY2021-22



Percentage of Defence Permits with a Declared Value in FY2021-22

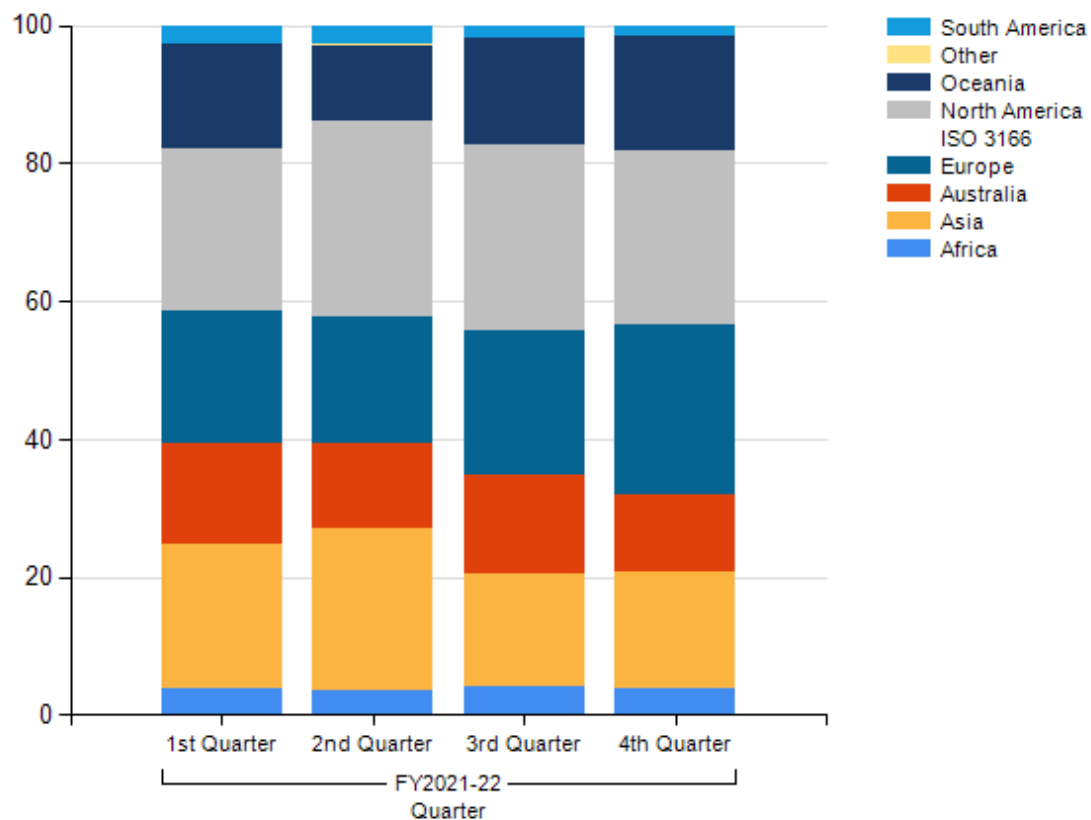


Estimated Value of Defence permits issued, and percentage of permits with a declared value, by financial years.

		% of Defence Permits with a Declared Value	Estimated Value on Approved Defence Permits
FY2021-22	Total	95%	\$5,771,575,406
	1	93%	\$1,777,482,137
	2	95%	\$1,185,585,997
	3	97%	\$1,170,186,150
	4	97%	\$1,638,321,122
FY2020-21	Total	93%	\$2,725,705,002
FY2019-20	Total	97%	\$5,360,970,381

Export Permits Issued to End Users by Region

Export permits issued to end users per quarter for FY2021-22 broken down by region



**Export Permits issued to Australian end users relate to temporary exports. Temporary exports include but not limited to: demonstration or repair and return.*

Export permits issued to End Users (by Region) by Financial Years

	FY2019-20	FY2020-21	FY2021-22				
	Average	Average	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
Africa	4%	4%	4%	4%	4%	4%	4%
Antarctica	0%	0%	0%	0%	0%	0%	0%
Asia	17%	16%	21%	23%	16%	17%	20%
Australia	17%	13%	14%	12%	14%	11%	13%
Europe	20%	21%	19%	18%	21%	25%	21%
North America	24%	28%	23%	28%	27%	25%	26%
Oceania	16%	16%	15%	11%	15%	16%	15%
Other	0%	0%	0%	0%	0%	0%	0%
South America	2%	1%	3%	3%	2%	2%	2%