

# Defence Export Controls

## Our Performance - FY2019-20

### Reporting

In keeping with Australia's national interests and international obligations, Defence facilitates the responsible export of military and dual-use goods and technologies from Australia.

Defence Export Controls' quarterly permit statistics provide the number and type of export applications received and finalised, application processing timeframes, the estimated total value of permits issued, and the breakdown of permits issued to end-users by continent.

The statistics do not equate to actual exports as there is no obligation to conduct or report on an activity under a permit.

### What are military and dual-use goods and technologies?

Permits are required for the export of military and dual-use goods and technologies which are defined by the Defence and Strategic Goods List.

Military goods include weapons, munitions and armour but also include items such as explosives, radios, simulators and training equipment that are used in civilian industries such as mining and manufacturing.

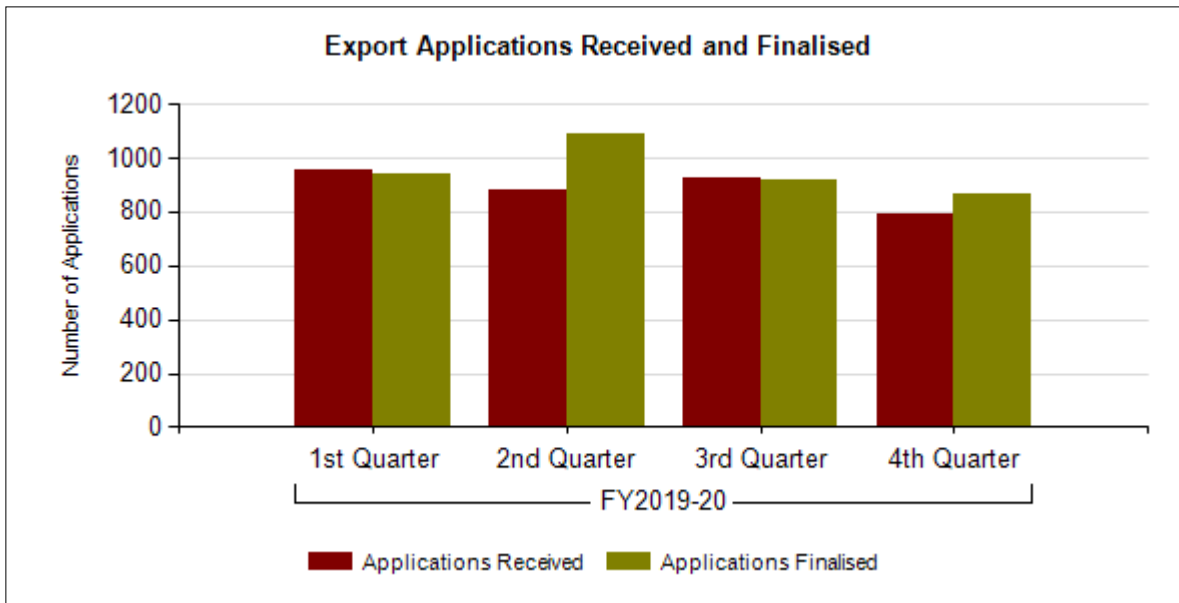
Dual-use items and technologies are generally used for civilian purposes but could also be used or adapted for use in a military program or contribute to the development and production of chemical, biological or nuclear weapons systems. Dual-use items can include chemicals for mineral processing, sensors and lasers for university research and development programs, or software and computer equipment.

All applications are assessed on a case by case basis in accordance with our export control provisions. This includes consideration of human rights, national security, regional security, foreign policy, and international obligations.

Details on arms transfers within scope of the Arms Trade Treaty are available on the Department of Foreign Affairs and Trade (DFAT)'s website.

# Export Application Statistics

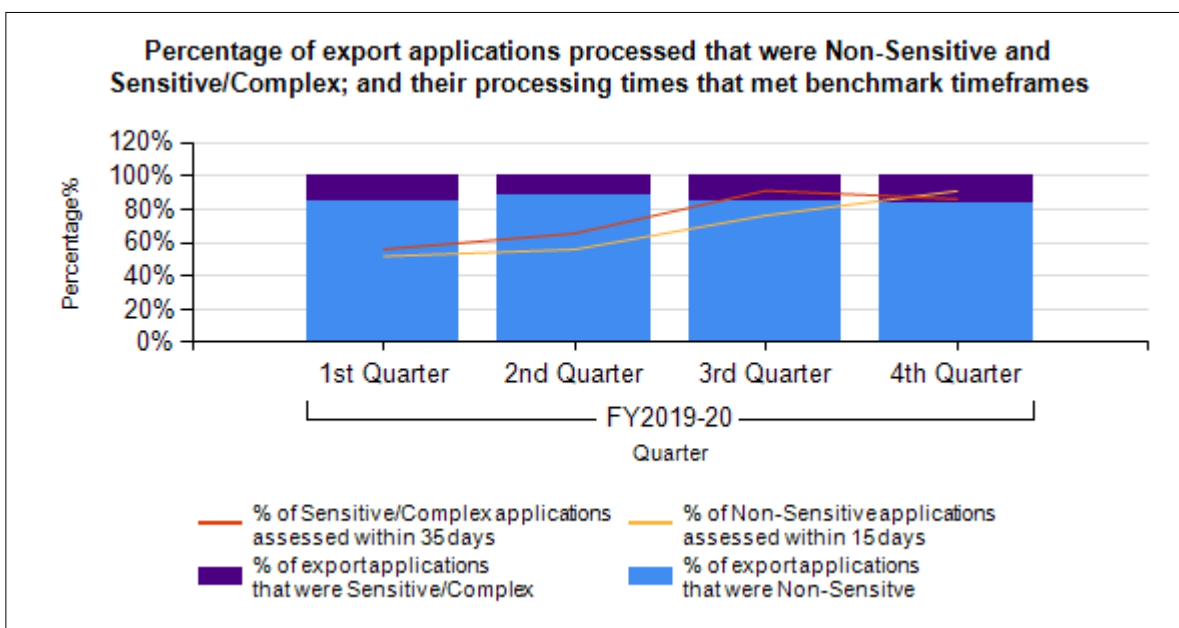
## Export Applications



Export Applications received and finalised for FY2019-20

	FY2019-20				
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Applications Received	954	880	923	794	3551
Applications Finalised	939	1094	919	867	3819

## Export Application Processing



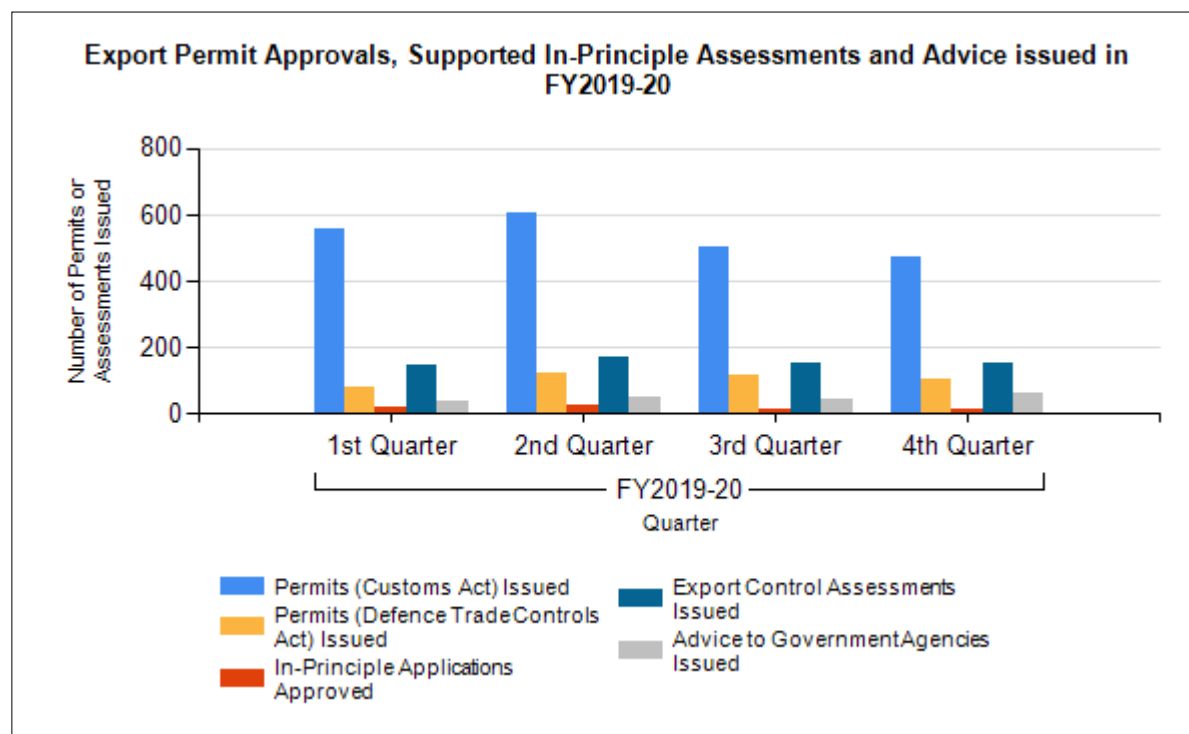
The percentage of export application processed that were Non-Sensitive and Sensitive/Complex for FY2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of export applications that were Non-Sensitive	84%	89%	85%	83%	85%
% of export applications that were Sensitive/Complex	16%	11%	15%	17%	15%

The percentage of export applications processing times that met benchmark assessment timeframes for FY2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of Non-Sensitive applications assessed within 15 days	52%	56%	76%	91%	69%
% of Sensitive/Complex applications assessed within 35 days	56%	66%	91%	86%	75%

## Export Application Approvals and Assessments



Export Permit Approvals, Supported In-Principle Assessments and Advice issued in FY2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Permits (Customs Act) Issued	559	604	502	473	2138
Permits (Defence Trade Controls Act) Issued	78	122	114	103	417
In-Principle Applications Approved	18	25	13	15	71
Export Control Assessments Issued	148	171	155	150	624
Advice to Government Agencies Issued	40	51	45	65	201

### Export Application Prohibitions and Denials

Export denials under Regulations 13E of the *Customs Act 1901*, in-principle assessments not supported, and prohibitions under the *WMD (Weapons of Mass Destruction) Act* and Military End-Use provision for FY2019-20

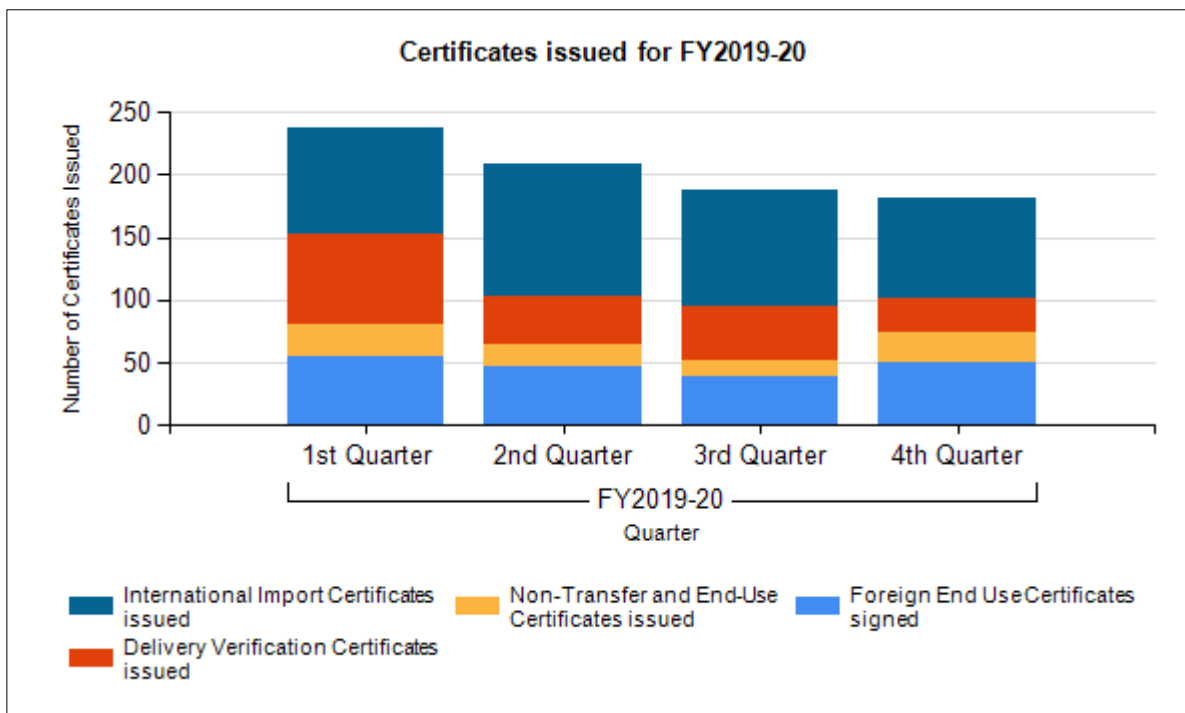
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Denials (Customs Act)	1	1			2
In-Principle Applications Not Supported	1	3	1	3	8
Prohibition Notices (Defence Trade Controls Act)					
Prohibition Notices (Weapons of Mass Destruction Act)	1				1
Prohibition Notices (Military End Use)		1			1
Prohibition Notices (Publications)					

## Export Applications Withdrawn, Made Inactive, Lapsed and No Further Action Required

Withdrawn, made inactive, lapsed, and no further action required for FY2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Withdrawn, Made Inactive or Lapsed	83	104	76	50	313
No Further Action Required	6	12	13	6	37

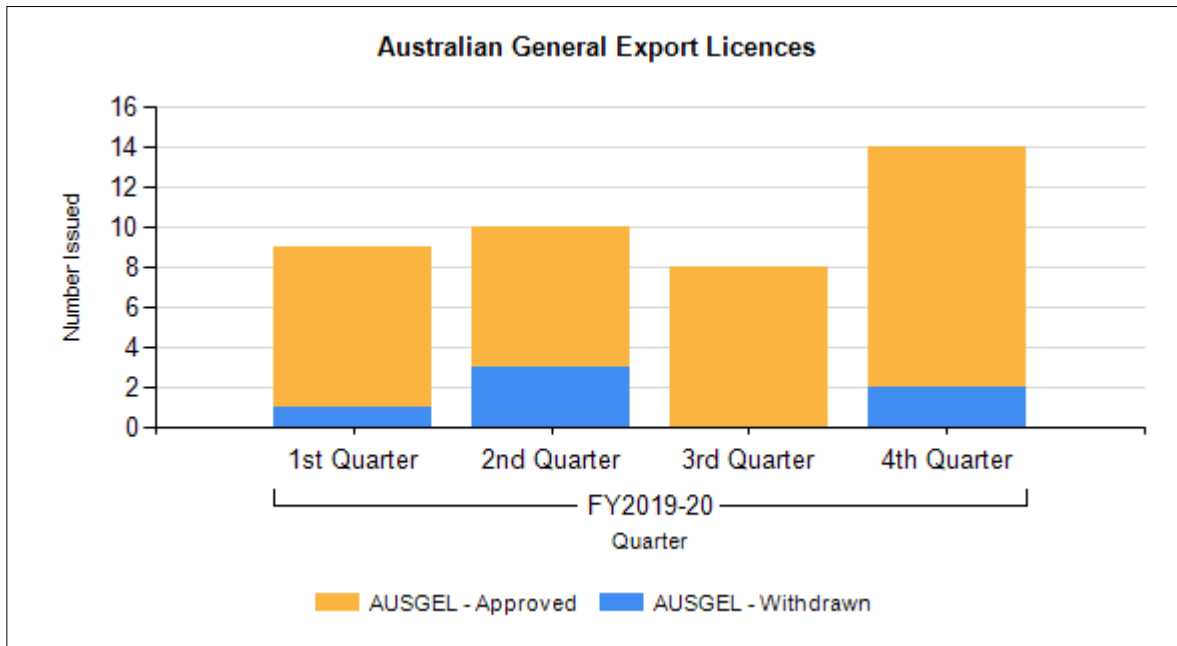
## Certificate Statistics



Certificates issued for FY2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
International Import Certificates issued	85	107	92	81	365
Delivery Verification Certificates issued	72	38	43	27	180
Non-Transfer and End-Use Certificates issued	26	18	13	24	81
Foreign End Use Certificates signed	54	46	39	50	189

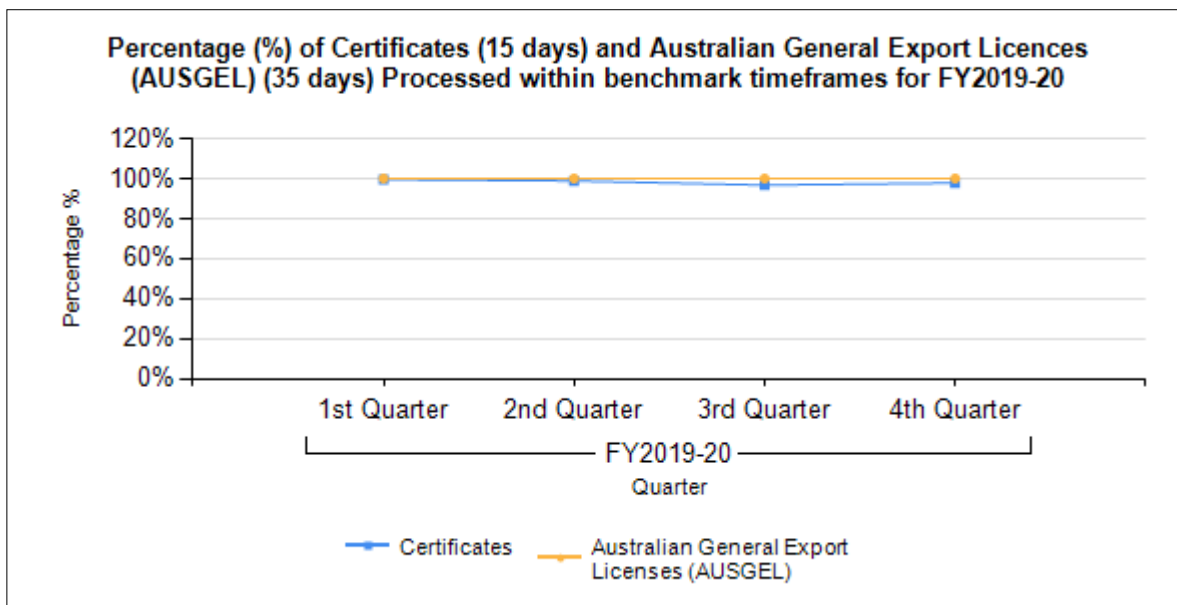
## Australian General Export Licences (AUSGEL) Statistics



AUSGELs issued in FY2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
AUSGEL - Approved	8	7	8	12	35
AUSGEL - Withdrawn	1	3		2	6

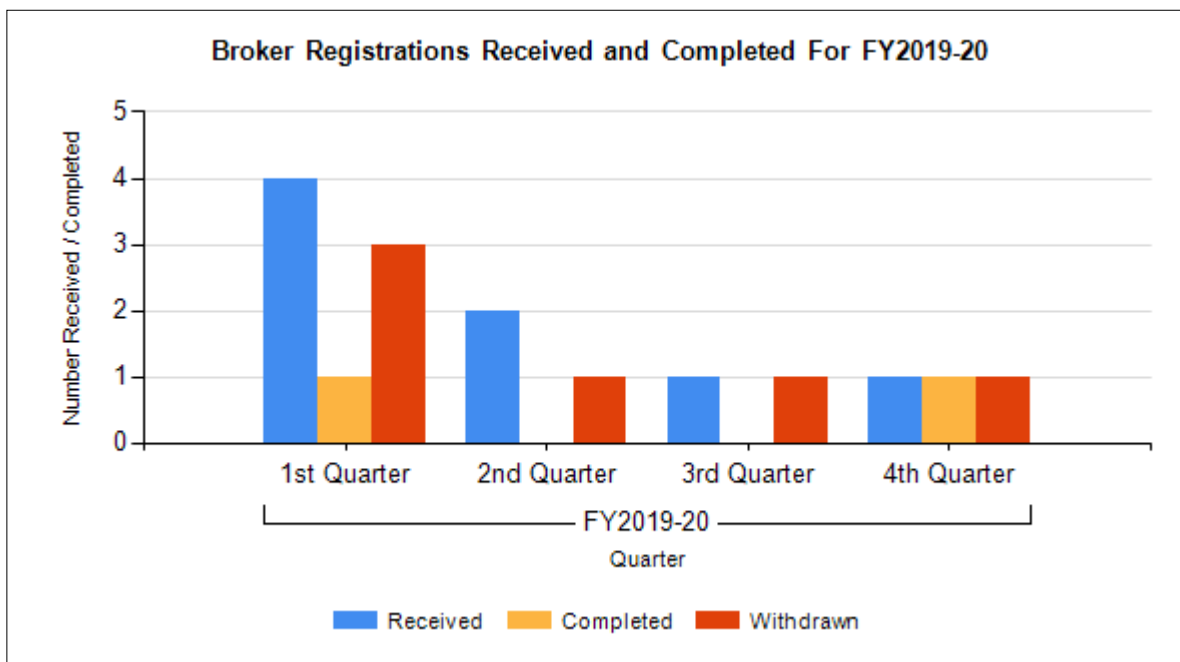
## Certificates and Australian General Export Licences (AUSGEL) Processing



Percentage of Certificates (15 days) and AUSGELs (35 days) processed within benchmark timeframes for FY2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
Certificates	99%	99%	97%	98%	98%
Australian General Export Licenses (AUSGEL)	100%	100%	100%	100%	100%

## Broker Registration

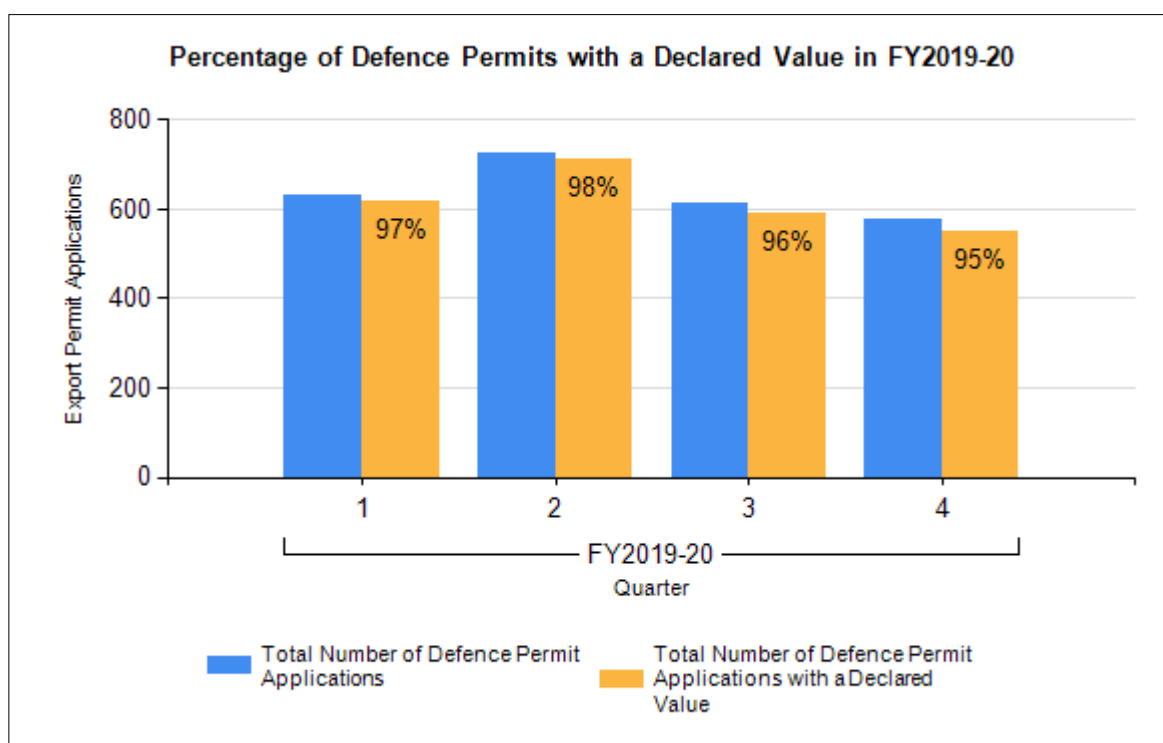
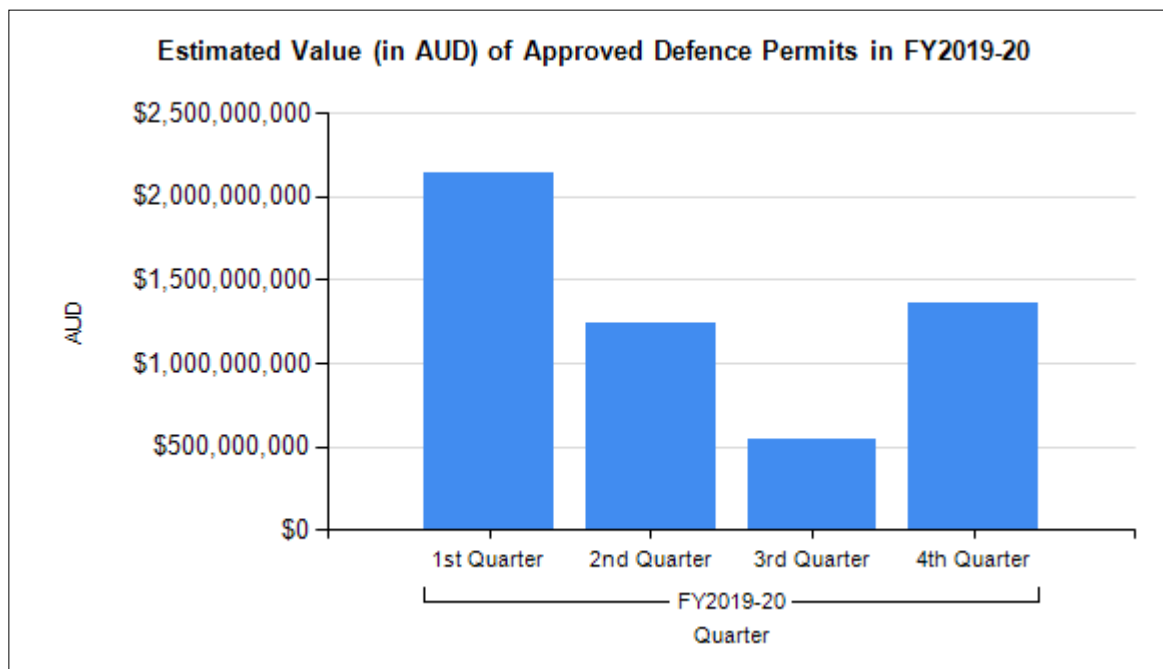


Broker Registrations received and completed for FY2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Received	4	2	1	1	8
Completed	1	0	0	1	2
Withdrawn	3	1	1	1	6

## Estimated Value of Approved Defence Permits

**Caveats on statistics in this section:** From 1 January 2018 onwards, applicants have been required to include their estimation of the total value of their export over the life of the permit. Some permits extend over multiple years. Year-to-year comparisons of export values could therefore be misleading.

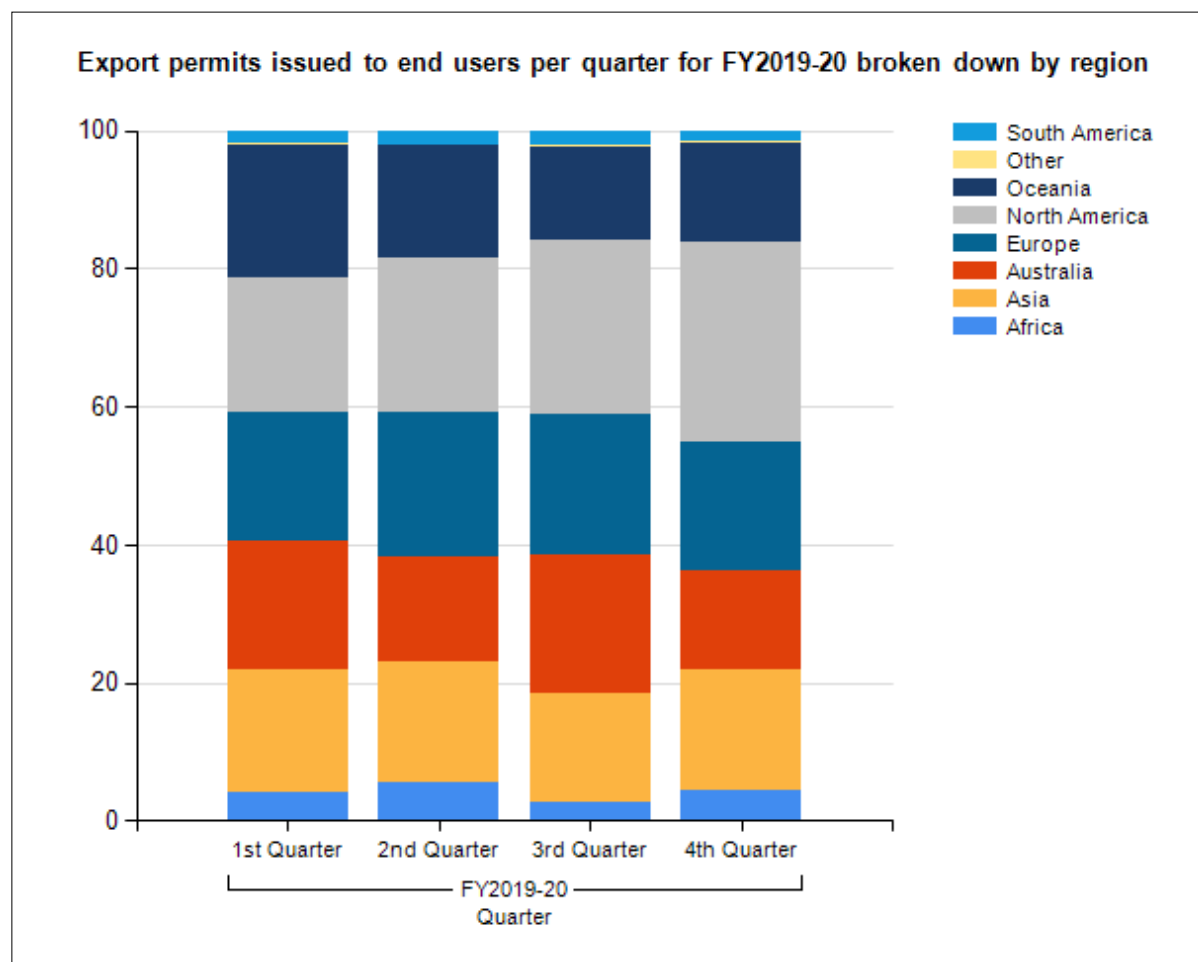




Estimated Value of Defence permits issued, and percentage of permits with a declared value, by Financial Years

		% of Defence Permits with a Declared Value	Estimated Value on Approved Defence Permits
FY2019-20	Total	97%	\$5,269,259,715
	1	97%	\$2,136,918,201
	2	98%	\$1,237,448,249
	3	96%	\$539,939,565
	4	95%	\$1,354,953,699
FY2018-19	Total	93%	\$4,905,033,200
FY2017-18	Total	83%	\$1,585,351,283

Export Permits Issued to End Users by Region



\* Export Permits issued to Australian end users relate to temporary exports. Temporary exports include but not limited to: demonstration or repair and return.

Export permits issued to End Users (by Region) by Financial Years

	FY2017-18	FY2018-19	FY2019-20				
	Average	Average	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
Africa	4%	3%	4%	5%	3%	4%	4%
Antarctica	0%						
Asia	16%	19%	18%	18%	16%	17%	17%
Australia	21%	19%	19%	15%	20%	14%	17%
Europe	18%	20%	19%	21%	21%	19%	20%
North America	21%	21%	19%	22%	25%	29%	24%
Oceania	18%	15%	19%	16%	14%	14%	16%
Other	0%	0%	0%		0%	0%	0%
South America	2%	2%	2%	2%	2%	1%	2%